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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

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Application Number

10/022,316 ✓

Filing Date

December 18, 2001

First Named Inventor

Michael A. Tischler

Group Art Unit

2814

Examiner Name

W. S. Louie

Total Number of Pages in This Submission

Attorney Docket Number

ATMI-477

ENCLOSURES (check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

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Express Abandonment Request

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Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Response to Missing Parts/
Incomplete Application

☐

Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Assignment Papers
(for an Application)

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Drawing(s)

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Licensing-related Papers

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Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation
Change of Correspondence
Address

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Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s)

☐

After Allowance Communication
to Group

☐

Appeal Communication to Board of
Appeals and Interferences

☐

Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

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Other Enclosure(s) (please
identify below):

Copy of International Search Report

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

William F. Ryann, Reg. No. 44,313

Signature

William F. Ryann

Date

August 4, 2003

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ATMI-477
Customer Id No.: 25559

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael A. Tischler)
)
) **Examiner:** Wai Sing Loh)
)
 Serial No.: 10/022,316) **Group Art Unit:** 2814)
)
 Date Filed: December 18, 2001)
)
 Title: Wafer Carrier for Semiconductor)
 Process Tool)

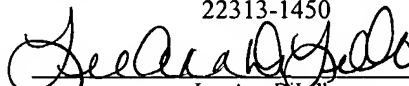
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Lee Ann DiLello

August 5, 2003

Date

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required in accordance with 37 CFR § 1.56 and § 1.97(b).

☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application, which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

☐ c. Attached is our check no. ____ in the amount of \$180 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 50-0860 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. ____ in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. ____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

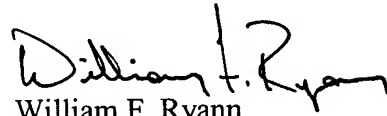
☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

☐ 4. (other):

Applicant does not believe that any additional fee is due in connection with the foregoing.
However, any deficiencies may be charged to the deposit account 50-0860.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William F. Ryann". The signature is stylized with a large, looped "W" and a cursive "R".

William F. Ryann
Registration No. 44,313
Attorney for Applicant

Date: August 4, 2003
Advanced Technology Materials, Inc.
7 Commerce Drive
Danbury, CT 06810
Attorney Ref: ATMI-477

AUG 08 2003

Sheet 1 of 1

FORM PTO-1449 US Dept. of Commerce Patent and Trademark Office				ATTORNEY DOCKET NO.		SERIAL NO.	
INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)				ATMI-477		10/022,316	
				APPLICANT			
				Michael Tischler			
				FILING DATE		GROUP	
				December 18, 2001		2814	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,580,388 A	12/3/1996	Moore			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION YES NO
							X (abstract only)
OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)							
Continue on Page							
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							